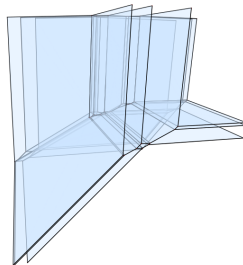
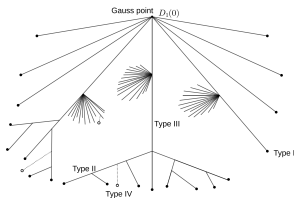


# What is $p$ -adic geometry?

Marta Panizzut

Chow Lectures  
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Non-Archimedean valued fields

Berkovich's theory

Huber's theory

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# Non-Archimedean valued fields

A **valued field** is a field  $K$  with an absolute value  $|\cdot| : K \rightarrow \mathbb{R}_{\geq 0}$  satisfying

- ▶  $|a| = 0$  if and only if  $a = 0$ ,
- ▶  $|ab| = |a||b|$ ,
- ▶  $|a + b| \leq |a| + |b|$ ,

If  $|a + b| \leq \max\{|a|, |b|\}$ , then  $K$  is a **non-Archimedean** valued field. This condition is stronger than the triangle inequality.

The absolute value is called **discrete** if the value group  $|K^*|$  is discrete in  $\mathbb{R}_{>0}$ .

## Let's construct an example

Let  $p$  be a prime number.

We write  $x \in \mathbb{Q}$  as  $x = p^k a/b$ , where  $p$  does not divide  $a$  and  $b$ , and  $k \in \mathbb{Z}$ .

$$\begin{aligned} v_p : \mathbb{Q} &\rightarrow \mathbb{R} \cup \{\infty\} \\ 0 &\mapsto \infty \\ x &\mapsto k \end{aligned}$$

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$v_p : \mathbb{Q} \rightarrow \mathbb{R} \cup \{\infty\}$  satisfies the following property:

- ▶  $v_p(x) = \infty$  if and only if  $x = 0$ .
- ▶  $v_p(xy) = v_p(x) + v_p(y)$ .
- ▶  $v_p(x + y) \geq \min\{v_p(x), v_p(y)\}$ .

Such a map  $v_p$  is called a **valuation**.

## $p$ -adic numbers

We define the  $p$ -adic non-Archimedean absolute value on  $\mathbb{Q}$ ,

$$|x|_p = p^{-v_p(x)}.$$

**Example:**  $|3 - 2|_5 = 1$        $|27 - 2|_5 = \frac{1}{25}$

The field of  $p$ -adic numbers  $\mathbb{Q}_p$  is the completion of the field of rational numbers  $\mathbb{Q}$  under the  $p$ -adic absolute value.

**Completion:**

- ▶ absolute value  $|\cdot|_p$  uniquely extends to  $\mathbb{Q}_p$ .
- ▶  $\mathbb{Q}$  is dense in  $\mathbb{Q}_p$ .
- ▶  $\mathbb{Q}_p$  is complete.

# Ostrowski's Theorem

**Remark:** The field of real numbers  $\mathbb{R}$  is the completion of  $\mathbb{Q}$  with respect to the (Archimedean) absolute value  $|\cdot|_\infty$ .

The absolute values  $|\cdot|_\infty$ ,  $|\cdot|_p$  and  $|\cdot|_q$ , with  $p \neq q$ , are not equivalent (just think of the sequence  $\{p^n\}_n$ ).

## Theorem (Ostrowski 1916)

*Every non-trivial absolute value on  $\mathbb{Q}$  is equivalent to  $|\cdot|_\infty$  or  $|\cdot|_p$  for some prime number  $p$ .*

## Theorem (Hasse–Minkowski Theorem 1921)

*A quadratic form  $Q$  with coefficients in  $\mathbb{Q}$  has a non-trivial solution in  $\mathbb{Q}$  if and only if  $Q$  has a non-trivial solution in  $\mathbb{R}$  and in  $\mathbb{Q}_p$  for every  $p$ .*



## More Examples

- ▶ Field  $K$  with trivial absolute value.
- ▶ The field  $\mathbb{C}\{\{t\}\}$  of Puiseux series with complex coefficients:

$$x = c_1 t^{a_1} + c_2 t^{a_2} + c_3 t^{a_3} + \dots,$$

where  $a_1 < a_2 < a_3 < \dots$  are rational numbers that have a common denominator and  $c_1 \neq 0$ .

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- ▶ Number fields, i.e., finite field extensions of  $\mathbb{Q}$ .
- ▶ The completion of  $\mathbb{Q}_p(p^{\frac{1}{p^\infty}}) = \bigcup_{n \geq 1} \mathbb{Q}_p(p^{\frac{1}{p^n}})$  (... perfectoid field, wait for the next lecture!).

# Valuation ring and residue field

Let  $K$  be a non-Archimedean field. The valuation ring is

$$R = \{x \in K \mid |x| \leq 1\}.$$

It is a local ring with maximal ideal

$$\mathcal{M} = \{x \in R \mid |x| < 1\}.$$

The residue field  $k$  is the quotient  $R/\mathcal{M}$ .

Example:

- ▶  $K = \mathbb{Q}_p$ , then  $k = \mathbb{Z}/p\mathbb{Z}$ .
- ▶  $K = \mathbb{C}\{\{t\}\}$ , then  $k = \mathbb{C}$ .

# Topological properties of NA fields

Remark that if  $|a| \neq |b|$ , then  $|a + b| = \max\{|a|, |b|\}$ .

- ▶  $D_r(a) = \{x \in \mathbb{Q}_p : |x - a| \leq r\}$
- ▶  $D_r(a)^\circ = \{x \in \mathbb{Q}_p : |x - a| < r\}$
- ▶  $C_r(a) = \{x \in \mathbb{Q}_p : |x - a| = r\}$

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We have the following properties:

- ▶  $D_r(b)^\circ \subset C_r(a)$  for every  $b \in C_r(a)$ .
- ▶ Closed disks are open:  $D_r(a) = D_r(a)^\circ \cup C_r(a)$ .
- ▶ Every point in a disk is a center:  $D_r(x) = D_r(y)$  for every  $y \in D_r(x)$ .
- ▶ The field  $K$  is Hausdorff and locally compact, but totally disconnected.

## What goes wrong

Let  $K$  be a complete non-trivial NA valued field.

A  $K$ -manifold is a space that “looks like patches of  $K^n$ ”.

$K$  is totally disconnected, then  $X$  is totally disconnected.

A function is analytic if it can be given locally by convergent power series.

$K$  is totally disconnected, there are too many analytic functions.

We want a better space!

Non-Archimedean valued fields

Berkovich's theory

Huber's theory



## Berkovich affine line

Let  $A$  be a ring. A **multiplicative seminorm** on  $A$  is a multiplicative function  $|\cdot| : A \rightarrow \mathbb{R}_{\geq 0}$  such that

- ▶  $|0| = 0$  and  $|1| = 1$ ,
- ▶  $|f + g| \leq |f| + |g|$

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Let  $K$  be a valued field. We define  $\mathbb{A}_K^{1, \text{Berk}}$  as follows:

- ▶ points  $\rightsquigarrow$  seminorms on  $K[T]$  which extend the absolute value on  $K$
- ▶ topology  $\rightsquigarrow$  weakest topology such that for every  $f \in K[T]$  the map  $|\cdot| \rightarrow |f|$  is continuous.

## Points on $\mathbb{A}_K^{1,\text{Berk}}$

Multiplicative seminorm  $|\cdot|_x$  gives a point  $x \in \mathbb{A}_K^{1,\text{Berk}}$ .

►  $K = \mathbb{C}$

The seminorms on  $\mathbb{C}[T]$  are of the form  $f \rightarrow |f(z)|$ , for some  $z \in \mathbb{C}$ . So  $\mathbb{A}_{\mathbb{C}}^{1,\text{Berk}}$  is homeomorphic to  $\mathbb{C}$ . Seminorms correspond to maximal ideals of  $\mathbb{C}[T]$ .

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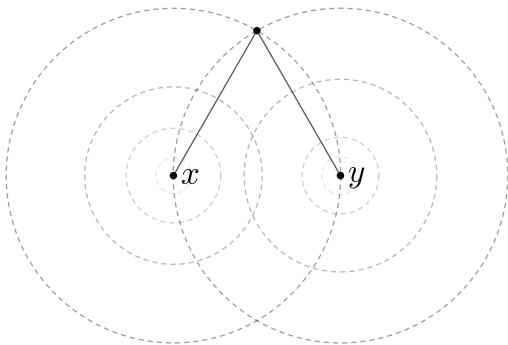
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- ▶  $K$  an algebraically closed complete non-trivial NA field.  
Seminorms  $f \rightarrow |f(x)|$ , for  $x \in K$ .

We have more seminorms:

$$|f|_{D_r(a)} = \sup_{x \in D_r(a)} |f(x)|, \text{ with } D_r(a) = \{x \in K : |x - a| \leq r\}.$$

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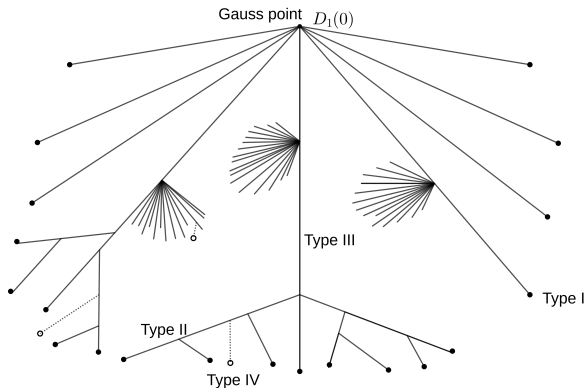
$$D_0(x) \rightsquigarrow D_r(x) \rightsquigarrow D_{|x-y|}(x) = D_{|x-y|}(y) \rightsquigarrow D_r(y) \rightsquigarrow D_0(y)$$

# Berkovich's Classification Theorem

## Theorem (Berkovich's Classification Theorem)

*Let  $K$  be an algebraically closed, complete non-Archimedean field. Every point  $x \in \mathbb{A}_K^{1, \text{Berk}}$  corresponds to a decreasing nested sequence  $\{D_{r_i}(a_i)\}$  of closed discs. Classification is done according to  $D = \cap_i D_{r_i}(a_i)$ .*

- ▶ *TYPE I:  $D = D_0(a)$ .*
- ▶ *TYPE II:  $D = D_r(a)$ , with  $r \in |K^*|$ .*
- ▶ *TYPE III:  $D = D_r(a)$ , with  $r \notin |K^*|$ .*
- ▶ *TYPE IV:  $D = \emptyset$ .*



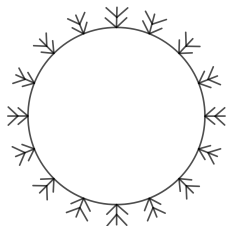
- Points of Type I are dense in  $\mathbb{A}_K^{1,\text{Berk}}$ .
- $X_K^{1,\text{Berk}}$  is uniquely path connected.
- Type II points are branching points.



# Analytification of affine algebraic varieties

Let  $X = \operatorname{Spec} K[T_1, \dots, T_n]/I$ . The analytification  $X_K^{\operatorname{Berk}}$  is the set of semimorms on  $K[T_1, \dots, T_n]/I$  extending the absolute value on  $K$ .

- ▶  $X$  connected iff  $X^{\operatorname{Berk}}$  path connected
- ▶  $X$  separated iff  $X^{\operatorname{Berk}}$  Hausdorff
- ▶  $X$  proper iff  $X^{\operatorname{Berk}}$  compact
- ▶ same dimensions
- ▶ ...



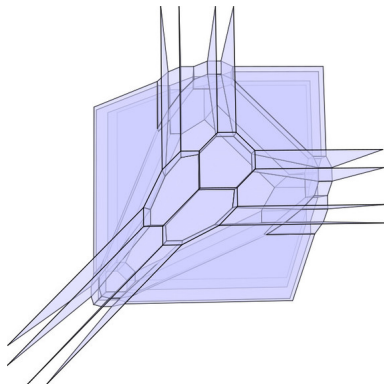
## A tropical break

Let  $I$  be an ideal in  $K[x_1^\pm, \dots, x_n^\pm]$  and  $X$  its variety on the algebraic torus  $\mathbb{T}^n$ . The **tropical variety**  $\text{Trop}(X)$  is defined as

the closure in  $\mathbb{R}^n$  of  $\left\{ (\text{val}(x_1), \dots, \text{val}(x_n)) \mid (x_1, x_2, \dots, x_n) \in X \right\}$ .

The tropical variety  $\text{Trop}(X)$  is a pure rational polyhedral complex.

- ▶ Enumerative geometry
- ▶ Brill-Noether theory of curves
- ▶ Mirror symmetry
- ▶ Optimization
- ▶ ...



## Analytification and Tropicalization

Let  $X$  be an affine algebraic variety over  $K$ , and  $\varphi : X \rightarrow \mathbb{A}^m$  an affine embedding.

The tropicalization  $\text{Trop}(X, \varphi)$  of  $X$  depends on  $\varphi$ .

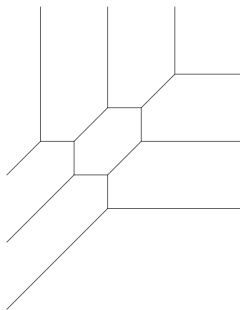
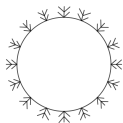
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## Theorem (Payne '09)

*The analytification  $X^{an}$  is homeomorphic to the limit of all tropicalizations  $\text{Trop}(X, \varphi)$ .*



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## Higher rank valuations

Let  $A$  be a ring. A **valuation** is a map to a totally ordered abelian group  $\Gamma$ ,  $|\cdot| : A \rightarrow \Gamma \cup \{0\}$ , satisfying the following properties:

- ▶  $|0| = 0$ ,  $|1| = 1$ ,
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**Example:**  $A = K\langle T \rangle = \left\{ \sum_{a_n \geq 0} c_n T^{a_n} \right\}$  the ring of convergent power series on  $|T| \leq 1$ .

$A^+ = R\langle T \rangle$  the subspace of power series in the valuation ring  $R$ .

We define  $X = \text{Spa}(A, A^+)$  as

$$\left\{ |\cdot| : A \rightarrow \Gamma \cup \{0\} \text{ continuous valuation on } A \mid |f| \leq 1, f \in A^+ \right\}$$

## Points on $\mathrm{Spa}(A, A^+)$

- ▶ TYPE I:  $f \mapsto |f(a)|, |a| \leq 1$ .



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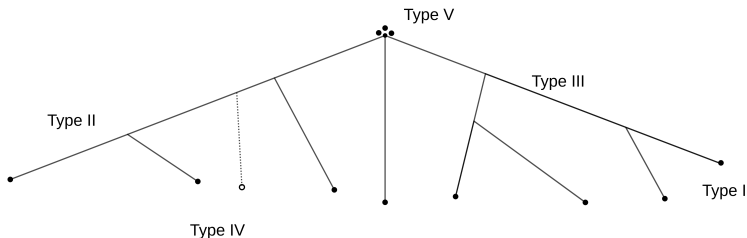
- ▶ TYPE I:  $f \mapsto |f(a)|$ ,  $|a| \leq 1$ .
- ▶ TYPE II and III:  $f \mapsto \sup_{y \in D_r(a)} |f(y)|$ ,  $|a| \leq 1$  and  $0 < r \leq 1$ .
- ▶ TYPE IV: as before for not spherically complete field

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- ▶ TYPE IV: as before for not spherically complete field
- ▶ TYPE V: Let  $|a| \leq 1$  and  $0 < r \leq 1$ . Let  $\gamma$  be a number infinitesimally smaller or bigger than  $r$  and  $\Gamma = \mathbb{R}_{>0} \times \gamma^{\mathbb{Z}}$ .

$$f = \sum c_n (T - a)^n \mapsto \max |c_n| \gamma^n.$$

If  $r \notin |K^*|$ , then these points are equivalent to point III.



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